



JUL 05 2002

Please type a plus sign (+) inside this box → COPY OF PAPERS
ORIGINALLY FILED

2812

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/974,936

Filing Date October 10, 2001

First Named Inventor Steven J. Visco

Group Art Unit 2812

Examiner Name Unassigned

Attorney Docket Number IB-1405

RECEIVED
TECHNOLOGY CENTER 2800
JUL 11 2002

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Remarks

enclosed are 2 PTO Form 1449s and references

RECEIVED
JUL 8 2002
TC 1700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Charles R. Nold, Lawrence Berkeley National Laboratory

Signature

Date

6/25/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

6/25/02

Typed or printed name

Charles R. Nold

Signature

Date

6/25/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

RECEIVED
PATENT
JUL 11 2002
TECHNOLOGY CENTER 2800
E. 19
9/24/02
#5

Practitioner's Docket N . IB-1405

JUL 05 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Visco, Steven J.; De Jonghe, Lutgard C.; and Jacobson, Craig P.

Application No.: 09/974,936

Group No.: 2812

Filed: October 10, 2001

Examiner: Unassigned

For: Electrochemical Device and Process of Making

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

RECEIVED
JUL 22 2002
TC 1700

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: 6/25/02

CRN

Charles R. Nold
Reg No. 46,470
Lawrence Berkeley National Laboratory
One Cyclotron Road
MS-90B0104
Berkeley, CA 94720-8127